



1651

PATENT

Case Docket No. GNE.3230R1C143

Date: September 12, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Eaton et al.
Appl. No. : 10/063,712
Filed : May 8, 2002
For : SECRETED AND
TRANSMEMBRANE
POLYPEPTIDES AND
NUCLEIC ACIDS ENCODING
THE SAME
Examiner : Unknown
Group Art Unit : 1651

) I hereby certify that this correspondence and all marked
) attachments are being deposited with the United States
) Postal Service as first class mail in an envelope
) addressed to: United States Patent and Trademark
) Office, P.O. Box 2327, Arlington, VA 22202, on

September 12, 2002

(Date)

AnneMarie Kaiser, Reg. No. 37,649

RECEIVED

SEP 19 2002

TECH CENTER 1600/2900

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with four (4) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

AnneMarie Kaiser
Registration No. 37,649
Attorney of Record

GNE.3230R1C143

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Eaton et al.) Group Art 1651
App. No. : 10/063,712)
Filed : May 8, 2002)
For : SECRETED AND)
TRANSMEMBRANE)
POLYPEPTIDES AND)
NUCLEIC ACIDS ENCODING)
THE SAME)
Examiner : Unknown)

RECEIVED
SEP 19 2002
TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

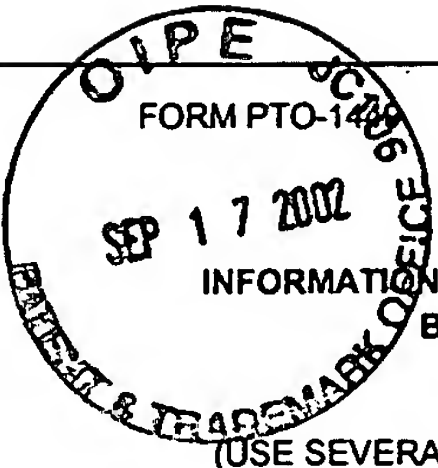
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Sept. 12, 2002

By: AnneMarie Kaiser

AnneMarie Kaiser
Registration No. 37,649
Attorney of Record
Customer No. 30,313
(619) 235-8550

| | | |
|--|------------------------------------|----------------------------------|
|  <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p> | ATTY. DOCKET NO. GNE.3230R1C143 | APPLICATION NO. US 10/063,712 |
| | APPLICANT Eaton et al. | |
| | FILING DATE May 8, 2002 | GROUP 1651 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|----|-----------------|----------|--------|-------|----------|---------------------------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
| | 1. | 5,536,637 | 07/16/96 | Jacobs | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

RECEIVED

SEP 19 2002

TECH CENTER 1600/2500

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|--|-----------------|------|---------|-------|----------|-------------|----|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | | YES | NO |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | |
|---------------------|--|--|
| | 2. | Klein et al. Selection for Genes Encoding Secreted Proteins and Receptors. <i>Proc. Natl. Acad. Sci.</i> , 93:7108-7113 (1996) |
| | 3. | Database Search, DNA Sequence Alignments [BLASTN 2.2.1[July-12-2001], NCBI] |
| | 4. | Database Search, Protein Sequence Alignments [BLASTN 2.2.1 [July-12-2001], NCBI] |
| | | |

S:\DOCS\AOK\AOK-9359.DOC
091202

| | |
|---|-----------------|
| EXAMINER | DATE CONSIDERED |
| <p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p> | |